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Eprints ID: 13531

To link to this article: doi: 10.1017/jfm.2014.245
URL: http://dx.doi.org/10.1017/jfm.2014.245


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A combustion instability in a combustor terminated by a nozzle is analysed and modelled based on a low-order Helmholtz solver. A large eddy simulation (LES) of the corresponding turbulent, compressible and reacting flow is first performed and analysed based on dynamic mode decomposition (DMD). The mode with the highest amplitude shares the same frequency of oscillation as the experiment (approximately 320 Hz) and shows the presence of large entropy spots generated within the combustion chamber and convected down to the exit nozzle. The lowest purely acoustic mode being in the range 700–750 Hz, it is postulated that the instability observed around 320 Hz stems from a mixed entropy–acoustic mode, where the acoustic generation associated with entropy spots being convected throughout the choked nozzle plays a key role. The DMD analysis allows one to extract from the LES results a low-order model that confirms that the mechanism of the low-frequency combustion instability indeed involves both acoustic and convected entropy waves. The delayed entropy coupled boundary condition (DECBC) (Motheau, Selle & Nicoud, *J. Sound Vib.*, vol. 333, 2014, pp. 246–262) is implemented into a numerical Helmholtz solver where the baseline flow is assumed at rest. When fed with appropriate transfer functions to model the entropy generation and convection from the flame to the exit, the Helmholtz/DECBC solver predicts the presence of an unstable mode around 320 Hz, in agreement with both LES and experiments.

**Key words:** acoustics, combustion, reacting flows
through the downstream nozzle. This latter mechanism generates indirect combustion noise, which may be perceptible outside of the combustion chamber (Cumpsty & Marble 1977; Marble & Candel 1977; Bake et al. 2009; Howe 2010; Miles 2010; Leyko et al. 2011; Duran, Moreau & Poinset 2013). However, the contribution of this mechanism to the unstable behaviour of air flames remains controversial.

(i) Purely thermoacoustic arguments usually allow one to explain the observed instabilities very nicely in most cases (McManus, Poinset & Candel 1993; Candel et al. 2009).

(ii) Basic experiments studied in laboratories are usually operated at atmospheric pressure (Lawn, Evesque & Polifke 2004; Karimi et al. 2009; Kornilov et al. 2009; Kim et al. 2010) to ease the technological issues. This means that the combustor chamber is not connected to a choked nozzle, eliminating any contribution of the indirect noise effect \textit{ab initio}.

Knowledge about mixed acoustic–entropy combustion instabilities goes back to the history of rocket engines and ramjets in the 1950s. Earlier works focused on the downstream nozzle that ends the flame tube. Tsien (1952) formulated a transfer function at the entrance of the nozzle via the derivation of an impedance, modelling the damping or increasing contribution of the device. The problem was reformulated later by Zinn (1972) and Morfey (1973) in terms of acoustic energy balance. Multiple works dedicated to the characterisation of the nozzle impedance emerged in the 1970s. Bohn (1977) for the subsonic case, as well as Marble & Candel (1977) for both subsonic and supersonic cases, derived the reflection and transmission coefficients for impinging acoustic and entropic waves in the zero-frequency limit (compact nozzle assumption). This approach was later extended to the non-compact cases by Moase, Brear & Manzie (2007) and Goh & Morgans (2011), and generalised for both subsonic and choked flows with and without a shock wave by Duran & Moreau (2013).

It must be noted that the influence of the nozzle on the combustion zone located upstream is most of the time not accounted for. For example, experiments (Bell, Daniel & Zinn 1973; Zinn et al. 1973) or numerical studies (Bloy 1979) focused on the acoustic response of nozzles for several flow parameters (influence of the Mach number or amplitudes of temperature spot) and its sensitivity to the geometry details (sharpness, area contraction effects). Purely mathematical models (Ffowcs-Williams & Howe 1975) addressed the problem from the noise radiation point of view via the derivation of Green functions. Concerning the interaction mechanism between the combustion and the indirect noise generated at nozzles, to the authors’ knowledge the first attempt to develop an analytical theory goes back to the works of Abouseif, Keklak & Toong (1984), closely followed by Keller, Egli & Hellat (1985). They found that the time lag characteristic of temperature spots detached from the flame and convected to the nozzle could add a new family of eigenmodes affecting the overall stability of the system. However, as recalled by Yu, Trouvé & Daily (1991), there was a lack of experimental evidence on the contribution of a mixed convective mode to the flame instability. Despite the fact that this latter work focused on the interaction between vortex and nozzle, the results can be extrapolated to the interaction between entropy and acoustic modes and constitute a first step to outline that the instability mechanism relies on the combination of acoustic and convective time lags. Contrary to the indirect combustion noise, the vortex shedding and its interaction with the acoustics is an important part of the stability of solid rocket engines and many studies have been devoted to this subject (Vuillot 1995; Chedevergne, Casalis & Majdalani 2012). An experimental study that elucidated the role of the indirect
noise on combustion instability was performed by Macquisten & Dowling (1994), who observed that the flame burning in a simple tube could be strongly affected by the addition of a nozzle. However, it was just an observation, which was not followed by a modelling effort.

The works cited above were motivated by the study of rocket engines and ramjets. The subject has seen renewed interest with the development of a lean premixed combustor for gas turbines, especially in aeronautical applications, where these engines cover a wide range of operating points and are more prone to combustion instabilities due to the technological choices. The complexity of such systems has naturally led to the development of low-order methods to predict thermoacoustic instabilities. The most comprehensive works on low-order modelling of combustion instability (Keller 1995; Dowling & Stow 2003; You, Huang & Yang 2005) rely on the resolution of a wave equation governing the oscillatory field in the chamber. Complemented by experiments (Hield, Brear & Jin 2009; Yu et al. 2010; Sisco et al. 2011), these low-order models show that a new family of instability modes that depend on the mean flow velocity may exist. However, as pointed out by Eckstein et al. (2006), one important issue is that the influence of indirect combustion noise is not systematic and a low-frequency instability may appear even without the presence of a nozzle, and vice versa. Some elements of response were provided by Polifke, Paschereit & Doebbeling (2001), who showed that entropy and acoustic waves may have a constructive or destructive phase dependence, as well as Sattelmayer (2003), who argued that entropy spots are submitted to strong dilution (mixing) due to the highly turbulent nature of the flow in practical combustors. Recent numerical study by Morgans, Goh & Dahan (2013) suggests, however, that the amount of dissipation of entropy spots convected by a turbulent flow may remain moderate. The importance of the entropy wave dissipation in mixed modes introduced a new mechanism to consider in models. It did not have to be considered for purely acoustic unstable modes, which are only marginally affected by wave dissipation. The effects of dissipation and dispersion on unstable mixed modes have been investigated in a recent study by Goh & Morgans (2013). They confirmed that entropy waves can destabilise a stable combustor, but can also have a stabilising effect on an unstable configuration. Moreover, some configurations can exhibit a mode switch between unstable modes. However, despite the fundamental interest of these studies, they still rely on one-dimensional low-order methods and simple academic configurations.

Only a few numerical studies have been performed to reproduce a mixed entropy–acoustic combustion instability in an air-breathing combustor. Zhu, Dowling & Bray (2001) and Yao et al. (2012) considered an academic combustor and applied the Reynolds averaged Navier–Stokes (RANS) methods, although the accuracy of this approach when dealing with reacting turbulent flows is highly questionable. Unsteady RANS computations have been performed by Hochgreb et al. (2013) in an academic combustor. These authors found that the mechanism of convection of entropy spots to the downstream nozzle has a key role in the establishment of a self-sustained thermoacoustic oscillation, whose computed frequency matches the experiments. Large-eddy simulation (LES) is now recognised as appropriate (although computationally demanding) to deal with flame dynamics-related issues, with or without coupling with acoustics – see Pitsch (2006), Schmitt et al. (2007), Gicquel, Staffelbach & Poinot (2012) and Wolf et al. (2012), among many others. LES was used to investigate the noise generated by the impact of acoustic and entropy waves on nozzles or turbine stages (see Bodony 2009; Duran et al. 2013). However, to the authors’ knowledge, no LES study has dealt specifically with a mixed entropy–acoustic instability.
LES computations are very computationally demanding and faster tools are required in the design process of new burners. A natural approach is to characterise the stable/unstable modes in the frequency domain. An approximate linear wave equation for the amplitude \( \hat{p}(x) \) of the pressure perturbations \( p'(x, t) = \hat{p}(x) \exp(-i\omega t) \) in reacting flows may be derived from the Navier–Stokes equations (see Poinsot & Veynante 2011) and reads

\[
\overline{\rho}(x)c^2(x)\nabla \cdot \left( \frac{1}{\overline{\rho}(x)} \nabla \hat{p}(x) \right) + \omega^2 \hat{p}(x) = i\omega (\gamma (x) - 1) \hat{q}(x),
\]

where \( \hat{q}(x) \) is the amplitude of the unsteady heat release \( q'(x, t) = \hat{q}(x) \exp(-i\omega t) \), \( \gamma \) is the heat capacity ratio, \( \overline{\rho} \) is the density of the baseline flow, \( c \) is the speed of sound and \( \omega \) is the complex angular frequency. In order to close the problem, the flame is modelled as a purely acoustic element based on an \( n-\tau \) type of model (Crocco 1952). Equation (1.1) is referred to as the inhomogeneous Helmholtz equation and corresponds to a nonlinear eigenvalue problem, which can be solved by using appropriate discretisation techniques and numerical algorithms (Nicoud et al. 2007).

Equation (1.1) relies on the so-called zero-Mach-number assumption, stating that the mean velocity is very small compared to the speed of sound. Nicoud & Wieczorek (2009) suggest that the domain of validity of the zero-mean-flow assumption might be rather small. One reason for this is that (1.1) does not support entropy waves. Thus the acoustic generation due to the entropy spot being accelerated in the nozzle/turbine located downstream of the combustion chamber is not accounted for and mixed modes cannot be captured. Recall that the production of sound by acceleration of entropy fluctuations is a key phenomenon when dealing with combustion noise; neglecting this acoustic source when studying thermoacoustic instabilities is thus questionable.

Nevertheless, this somewhat restrictive assumption is necessary to derive a wave equation for the thermoacoustic perturbations superimposed onto a non-isentropic baseline flow, the alternative being to use the complete set of linearised Euler equations (LEEs) (see Nicoud & Wieczorek 2009). Unfortunately, this would make the computational effort needed to compute the thermoacoustic modes significantly larger (five coupled equations being solved for) than what is required when dealing with (1.1). More importantly, the LEEs couple the different modes of fluctuations that may interact and produce a combustion instability. Consequently, solving the LEEs would not be as informative as solving the Helmholtz equation with or without additional terms related to the mean flow. Being able to (partly) account for the effects of non-zero Mach number without relying on the LEEs is therefore highly desirable to discriminate if an instability is purely of acoustic nature or if it relies on a mixed acoustic–entropy mode of oscillation.

The objectives of this paper are the following:

(i) build and analyse an LES database to establish the presence of mixed modes in a realistic combustion chamber;

(ii) develop and validate a methodology to capture the mean flow effects within the zero-Mach-number framework (1.1);

(iii) apply the obtained low-order method to the same three-dimensional industrial-like combustor.

First, the industrial configuration, the numerical set-up and the associated low-frequency instability are presented in §2. A purely acoustic study is performed with the help of a zero-Mach-number Helmholtz solver, demonstrating that a classical
thermoacoustic approach cannot represent the observed low-frequency mode. In § 3 a dynamic mode decomposition (DMD) is applied to the LES results in order to investigate the presence of an entropy–acoustic coupling via the reconstruction of a reduced-order model. A proper formalism is then introduced in § 4 in order to account for the entropy–acoustic coupling within the zero-Mach-number framework by the derivation of a delayed entropy coupled boundary condition (DECBC). Finally, results from the zero-Mach-number Helmholtz solver with the DE CBC approach are presented to illustrate the potential of the method.

2. Combustor analysis

2.1. Description of the experimental configuration

The case considered in this study is a combustor developed by the SAFRAN Group for aero-engine applications. Figure 1 shows the combustion chamber and the casing with primary and dilution holes, although some parts (shaded) of the geometry are not displayed for confidentiality reasons (these details do not affect the main features presented and the conclusions reached). The air inlet connected to the upstream compressor is also displayed. Note that the downstream high-pressure distributor, which connects the combustor to the turbine in the actual experiment, is not represented here nor directly accounted for in the remainder of the paper. Instead, it is modelled by a choked nozzle with equivalent cross-sectional area. For choked conditions, this ensures that the chamber sees an outlet condition close to the actual one. The whole mass flow rate does not enter into the nozzle: approximately 16% is routed downstream of the surrounding casing (modelled in the simulation by suction walls). The fuel line is also visible, as well as the swirled injector used to mix fuel and air and to generate the recirculation zone that stabilises the flame. In the engine, several injectors are mounted all around the azimuthal combustion chamber, although only one sector with one injector is displayed in the figure. Note that this geometry is representative of a typical aero-engine gas turbine combustor, as studied in other works (see Dowling & Stow 2003).

Experiments were performed on the present configuration to study the effect of liquid water ingestion. Depending on the ratio between the mass flow rates of water and air, the overall stability of the engine evolves, the combustor becoming increasingly unstable as the mass flow rate of water increases. As the temperature of the ingested liquid water is approximately 300 K, it is postulated that all liquid water is vaporised when flowing through the compressor. Adding water to the incoming air has two effects: (i) a decrease of the air temperature and (ii) a dilution effect due to the presence of vaporised water in the inlet air. Both effects decrease the flame speed and can affect the instability mechanism but effect (ii) is small compared to a decrease of the inlet temperature of the combustion air (the flame speed changes like \( T^2 \)). Although not detailed in the present paper, several LES computations have been performed for different inlet boundary conditions:

(i) one that takes into account the mass fraction of vaporised water in the gas entering into the combustor;

(ii) one where only pure air enters the combustion chamber.

These tests show that the main effect is the decrease of the inlet temperature and that the injection of vaporised water has a limited effect: no significant differences were observed on the flame dynamics or the frequency and amplitude of the instability. Therefore we considered only the first one by using a reaction mechanism where
no chemical effect of water is included and only the air temperature plays a role. In consequence, the effect of liquid water is only taken into account in the present study by decreasing the air entering into the combustor. It is possible to link the inlet temperature $T_{\text{inlet}}$ to the mass flow rates of liquid water and air by a simple enthalpy balance. The engine is found to be stable for $T_{\text{inlet}}$ approximately 760 K but unstable for $T_{\text{inlet}}$ approximately 560 K. In unstable cases the measured pressure signal exhibits strong fluctuations at approximately 330 Hz and with a maximum amplitude of approximately $5 \times 10^4$ Pa.

2.2. Numerical set-up

To analyse this instability, LES were performed using the AVBP solver (CERFACS 2009). The latter is based on a cell-vertex formulation and a set of finite element/finite volume schemes for unstructured meshes. A two-step Taylor–Galerkin scheme (third order in time and space) was retained (Colin & Rudgyard 2000). Two regimes were computed by LES, corresponding to two representative operating conditions investigated experimentally at SAFRAN: one that contains a strong instability at nearly 330 Hz ($T_{\text{inlet}} = 557$ K; operating point Cold); and one that shows no instability.
\(T_{\text{inlet}} = 762\ \text{K};\ \text{operating point} \text{ Hot}\). In each case, only one angular sector (out of 20) was computed, axiperiodic conditions being prescribed at the lateral boundary. It is consistent with the experimental data showing that the low-frequency instability is not an azimuthal mode. Turbulent combustion was represented with the dynamic thickened flame model developed by Colin et al. (2000). A simple two-step kinetic scheme was used to represent kerosene–air chemistry (Franzelli et al. 2010). The global power is approximately \(1.4 \times 10^6\) W while the global equivalence ratio is approximately 0.3, which is characteristics of lean combustors. The mass flow rate of air entering into the domain is \(\dot{m}_O = 1.37\ \text{kg s}^{-1}\), while the Reynolds number in the inlet channel is approximately \(7.5 \times 10^5\). The mass flow rate of kerosene is \(\dot{m}_F = 0.032\ \text{kg s}^{-1}\).

Boundary conditions are imposed through the NSCBC formulation (Poinsot & Lele 1992) to control spurious acoustic reflections. The solid walls are adiabatic and a classical logarithmic law is imposed to mimic the near-wall shear stress (Schmitt et al. 2007). The coincident walls between the combustion chamber and the casing are actually multi-perforated and modelled in the simulation by an adiabatic homogeneous suction/injection boundary condition (Mendez & Nicoud 2008a,b). Only the hydrodynamics effects of the multi-perforated walls are taken into account by the model, not the associated acoustic damping. For each LES computation, data are recorded for a period of 120 ms after the whole system reaches a limit cycle state. As the expected instability phenomenon has a frequency of approximately 330 Hz, this latter choice ensures that the averaging procedure of physical fields and signal processing like Fourier transforms cover at least 40 cycles.

As mentioned in §2.1, the turbine is replaced by a choked nozzle with equivalent cross-sectional area. The natural question that arises is whether the response of the nozzle to entropic (temperature) perturbations is sensitive to the three-dimensional nature of the temperature hotspots. Two nozzle geometries were tested so as to ensure the robustness of the results to the shape of the nozzle, a flat one and a circular one, depicted in figure 1(a) and (b), respectively.

The fundamental characteristic of the LES methodology is that the mesh grid acts as a spatial filter for the turbulence spectrum, the larger scales being solved numerically on the discretised domain, while the effect of the smallest scales is modelled by a subgrid-scale turbulent viscosity \(\mu_t\). It is then crucial to estimate the sensitivity of the results to the mesh refinement as well as models for \(\mu_t\). The present study focuses on the instability generated inside the chamber, downstream of the flame. Thus only the pressure amplitude and the frequency of the phenomena are considered as crucial parameters to assess the quality of the LES. No further investigations were performed to quantify the influence of the mesh level refinement as well as the subgrid-scale models on the physics of the flow and the flame. Hence, two meshes were tested for the geometry coupled with a circular nozzle: a baseline one (approximately 5.6 million cells) and a fine one (approximately 15 million cells). Note that, in both meshes, the veins inside the swirler as well as the dilution holes are refined to capture the flow in small geometrical details.

Moreover, two subgrid-scale models for the turbulent viscosity \(\mu_t\) have been tested.

(i) The first is the classical Smagorinsky model (Smagorinsky 1963),

\[
\mu_t = (C_s \Delta)^2 \sqrt{2 \tilde{S}_{ij} \tilde{S}_{ij}},
\]

where \(\Delta\) denotes the filter characteristic length and \(C_s = 1.8\) is a constant. The turbulent viscosity \(\mu_t\) is then proportional to the resolved filtered strain
Run | Operating point | Baseline mesh | Fine mesh | Circular nozzle | Flat nozzle | Regime
---|-----------------|--------------|-----------|----------------|------------|-------
1 | Cold | × | × | | | Unstable
2 | Hot | × | × | | | Stable
3 | Cold | × | × | | | Unstable
4 | Hot | × | × | | | Stable
5 | Cold | × | × | | | Unstable
6 | Hot | × | × | | | Stable

Table 1. Summary of the LES computations performed on the two operating points Cold ($T_{inlet} = 557$ K) and Hot ($T_{inlet} = 762$ K) depending on the nozzle geometry (circular or flat) and the mesh (baseline mesh approximately 5.6 million cells, fine mesh approximately 15 million cells). The last column denotes if the computed flow was found to be stable or unstable. Recall that the experiment is unstable for Cold and stable for Hot.

The Smagorinsky model was developed in the 1960s and has been extensively tested over a wide range of flow configurations. This model is easy to implement and presents good properties for flows away from solid walls at a low computational cost. Furthermore, it supplies the right amount of kinetic energy dissipation in homogeneous isotropic turbulent flows. The Smagorinsky model is also known as being too dissipative in wall-bounded flows and to overpredict the wall shear stress near solid boundaries. This problem can be avoided by the use of wall-law boundary conditions.

(ii) The second is the sigma model (Nicoud et al. 2011),

$$
\mu_i = (C_\sigma \Delta)^2 \frac{\sigma_3 (\sigma_1 - \sigma_2) (\sigma_2 - \sigma_3)}{\sigma_1},
$$

where $C_\sigma = 1.5$ is a model constant, and $\sigma_1 \geq \sigma_2 \geq \sigma_3 \geq 0$ are the singular values of the resolved velocity gradient tensor. The $\sigma$ model was developed to overcome some drawbacks observed on most of the static models without using an additional test filter. Indeed, it presents the interesting property of vanishing in various laminar flow configurations (pure shear, pure rotation, pure dilatation/contraction, etc.) for which no subgrid-scale activity is expected. It also has the property of vanishing with the proper asymptotic behaviour near solid boundaries.

Table 1 presents a summary of the LES computations performed on the two operating points depending on the nozzle geometry and the mesh refinement. In order to discriminate either the effect of the nozzle or the inlet temperature, all numerical and physical parameters in the computations are strictly the same.

2.3. LES results

Figure 2 displays typical snapshots (instantaneous temperature fields) of the LES for the operating points Cold and Hot. Contours of the heat release rate ($1 \times 10^9$ W $m^{-3}$) are superimposed onto the temperature field. Both cases exhibit a typical swirled flame with a central recirculation zone around the axis of the swirler. An interesting feature of the flame is that chemical reactions occur also near the cooling film, as well as along the dilution jet where fresh air flows into the combustor. Theoretically, the role of the dilution holes is to confine the flame to the upstream zone by suddenly reducing
Figure 2. Instantaneous fields of temperature with superposition of one contour of heat release rate (white line, \(1 \times 10^9 \text{ W m}^{-3}\)) at operating points (a) Cold and (b) Hot. The snapshots are from run nos. 5 and 6, respectively (see table 1).

The fuel:air ratio. In both cases, this objective is reached and the temperature drops after the dilution jets. However, in the case of the operating point Cold (figure 2a), a hot pocket of temperature is released after the dilution jets and the mixture is still reacting when convected to the downstream nozzle by the mean flow. A set of temperature snapshots is displayed in figure 3 showing how this hot pocket is created, convected and ejected by the nozzle. In contrast, in the case of the operating point Hot, the dilution jets play their role and the flame is confined (figure 2b). Only burned gas is convected to the downstream nozzle.

The pressure signal is recorded at a probe (denoted A, see figure 2) located at the entrance of the nozzle. Results for the operating points Cold and Hot are depicted in figure 4 by the solid and dotted lines, respectively. In the case of operating point Cold, the pressure exhibits strong harmonic fluctuations at a fundamental low frequency close to the experimental value (330 Hz). The mean pressure is approximately \(1.65 \times 10^6 \text{ Pa}\). The amplitude of the limit cycle is quite large and is in the range of pressure
Figure 3. Instantaneous fields of temperature with superposition of one contour of heat release rate (white line, $1 \times 10^9$ W m$^{-3}$). Full cycle of the evolution of the temperature. (a) The flame is confined in the primary zone. (b) A hot pocket of temperature is created under the dilution holes. (c) The hot pocket is convected downstream. (d) The hot pocket is ejected through the exit nozzle.

Figure 4. Pressure signals from LES data at probe A and for the circular nozzle geometry: operating point Cold, run no. 5 (——); operating point Hot, run no. 6 (······). The mean pressure is approximately $1.65 \times 10^6$ Pa and $5 \times 10^4$ Pa for operating points Cold and Hot, respectively.

fluctuation amplitudes measured in the experiments (approximately $5 \times 10^4$ Pa). In the case of operating point Hot, the pressure signal is typical of broadband noise, with a smaller fluctuation level. The mean pressure is also higher (approximately $1.76 \times 10^6$ Pa) than for the Cold case.
In order to assess the influence of the shapes of the downstream nozzle, two computations have been performed using the baseline mesh for the operating point *Cold*, both with the flat and circular geometry (run nos. 1 and 3, see table 1). Figure 5 presents the amplitude of the Fourier transform of each signal recorded at probe A. The mean pressure value has been subtracted from processed signals. The first two peaks at 320 and 640 Hz are virtually the same for both nozzle geometries, while the frequency of the third and fourth peaks (approximately 960 Hz and approximately 1280 Hz, respectively) are slightly shifted. This shows that the estimation of the instability is not sensitive to the nozzle geometry for the low-frequency mode of interest. Only the circular nozzle geometry will be considered in the remainder of the paper.

As explained in §2.2, operating points *Cold* and *Hot* were solved with the two levels of mesh refinement (run nos. 1, 2, 5 and 6 in table 1). Figure 6 presents the modulus of the Fourier transform of the pressure signal recorded at probe A for run no. 5 (fine mesh) and no. 1 (baseline mesh). The mean pressure value has been subtracted from processed signals. Results for the first unstable mode are similar, even if the amplitude of fluctuations computed with the baseline mesh is slightly under-predicted and their frequency slightly shifted. This can be explained by the fact that the baseline mesh is more dissipative and that entropy waves are dissipated too rapidly during their convection to the downstream nozzle. The modulus of the Fourier transform of the pressure signal recorded at probe A for the operating point *Hot* is also displayed in figure 6. A peak is present at the frequency of approximately 435 Hz and has an amplitude of 2500 Pa. It is remarkable that pressure fluctuations for the operating point *Hot* are very weak compared to those for the operating point *Cold*, confirming the capacity of LES to capture and discriminate between stable and unstable regimes on both meshes of table 1. Although not depicted in figure 6, results for run no. 2 are similar to those for run no. 6.

Additionally, to make sure that the instability mechanism predicted by LES is independent of the subgrid-scale model for the turbulent viscosity $\mu_t$, run no. 5 was
computed with the classical Smagorinsky model and the sigma model as described in § 2.2. Results for the modulus of the Fourier transform of the pressure signal recorded at probe A are depicted in figure 7. The mean pressure value has been subtracted from processed signals. Results for the first unstable mode are similar in both frequency and amplitude. For the second unstable mode, results with the sigma model differ slightly: the frequency is shifted by a few hertz, while the amplitude is under-estimated. However, for the phenomena of interest at approximately 320 Hz, figure 7 demonstrates that the results are independent of the modelling of the turbulent viscosity \( \mu_t \). Note that a run without subgrid-scale model has been attempted on both baseline and fine meshes. These computations are unstable and lead to rapid numerical divergence: this demonstrates that the numerical viscosity is weak and that subgrid-scale models for the turbulent viscosity \( \mu_t \) are essential. Finally, as results are very similar even when the mesh is refined and the subgrid-scale model is changed, the LES computations are considered robust to reproduce the instability phenomena accurately.

2.4. Helmholtz analysis

An acoustic analysis is performed by using the AVSP software (Nicoud et al. 2007) that solves the Helmholtz equation (1.1) numerically as an eigenvalue problem. The unsteady heat release rate \( \hat{q}(x) \) constitutes a forcing source related to the acoustic–flame coupling. It is modelled based on an \( n-\tau \) type of model (Crocco 1952):

\[
\hat{q}(x) = n_{local}(x) \hat{u}(x_{ref}) e^{i \omega \tau_{local}(x)} \cdot n_{ref}.
\]  

Equation (2.3) essentially relates the unsteady heat release \( \hat{q} \) to the acoustic velocity \( \hat{u} \) along the direction of the unit vector \( n_{ref} \) at reference location \( x_{ref} \). The space-dependent amplification factor (unit J m\(^{-4}\)) and the time delay (unit s) are \( n_{local} \) and \( \tau_{local} \), respectively. The \( n_{local}=\tau_{local} \) parameters can be estimated experimentally or
Figure 7. Spectra of pressure signals from LES data at probe A and for the circular nozzle geometry and fine mesh (operating point Cold, run no. 5): Smagorinsky model (Smagorinsky 1963) (——); sigma model (Nicoud et al. 2011) (–––). The mean pressure value has been subtracted from processed signals.

by analytical models. However, in the present study, these parameters are directly extracted from the LES database by post-processing the heat release rate and velocity signals obtained for the self-sustained case Cold. Note that the Helmholtz equation only involves the acoustic pressure $\hat{p}$ and that the velocity vector $\hat{u}$ can be deduced from $\hat{p}$ by using the momentum equation under the zero-Mach-number assumption: $i\omega \rho \hat{u} = \nabla \hat{p}$. Finally (1.1) solved by the Helmholtz solver is rewritten as follows:

$$\rho(x) c^2(x) \nabla \cdot \left( \frac{1}{\rho(x)} \nabla \hat{p}(x) \right) + i\omega^2 \hat{p}(x) = (\gamma(x) - 1) n_{local}(x) e^{i\omega \tau_{local}(x)} \frac{\nabla \hat{p}(x_{ref}) \cdot n_{ref}}{\rho(x_{ref})}.$$

(2.4)

The sound speed $c$, the mass density $\rho$ and the heat capacity ratio $\gamma$ are local variables that are estimated from time-averaged LES solutions.

Solutions of (2.4) are basically a set of eigenmodes that are characterised by a complex frequency and associated shapes of pressure distribution. The imaginary part of the complex frequency is interpreted as the stability rate of the eigenmode. Care must be taken concerning the interpretation of the stability rate. Usually the temporal evolution of a combustion instability is decomposed into two phases (Poinsot & Veynante 2011): (i) an exponential linear growth, followed by (ii) a nonlinear limit cycle. The stability rate computed by the Helmholtz equation only provides information about the linear transient phase. Validating this piece of information against LES data would require measuring the growth rate from temporal signals during the transient linear phase, which is very challenging when dealing with a turbulent flow. A common way of checking the validity of a low-order thermoacoustic analysis is to assume that any mode with a (strong) positive growth rate should lead to a limit cycle with similar frequency and spatial structure. At the same time, any linear mode with negative or marginally positive growth rate is expected to be damped without forming a limit cycle.

Note that, although the Helmholtz analysis is conducted in the linear domain, the models are fed with parameters (the field of speed of sound, $n-\tau$ parameters for
the active flame or DECBC) computed from the nonlinear state (the limit cycle). This is of course a limitation of the present approach but it is the usual practice in thermoacoustic studies (Martin et al. 2006; Wolf et al. 2012). A posteriori results tend to show that the linear study is able to capture unstable/stable modes with a coherent estimation of the frequency. Recent studies (Selimefendigil & Polifke 2011; Silva et al. 2013) demonstrate that it is possible to capture the nonlinear behaviour when combining several transfer functions computed from the linear regime.

2.4.1. Acoustic boundary conditions

Since (2.4) assumes no mean flow, it is necessary to restrict the study of thermoacoustic instabilities to only the combustion chamber (where the Mach number is always small). As depicted in figure 8, the domain is truncated at the outlet, before the nozzle, where the Mach number is $M \approx 0.1$. It is then crucial to take into account the proper acoustic environment of the combustor, such as, for example, the presence of a compressor or a turbine. This is illustrated in figure 9, where $Z_{np}^0$ stands for the proper acoustic impedances that must be imposed at the edges of the Helmholtz ($M = 0$) domain in order to account for the transmission or reflection of acoustic waves due to the compressor and turbine. Note that the Mach number in the surrounding elements is not necessarily small, especially in the turbine, where the flow is highly accelerated. The natural question that arises is then: What is the proper way to impose an impedance that models non-zero-Mach-number ($M \neq 0$) elements onto a Helmholtz solver based on the zero-Mach-number assumption? This subject has been handled by the authors in previous works (see Motheau, Nicoud & Poinsot 2012; Motheau, Selle & Nicoud 2014) and is briefly recalled in the following.

When dealing with acoustics in the presence of mean flow effects, the impedance over a boundary with normal $\mathbf{n}$ may be formulated in two different ways:

$$\bar{\rho} \tau Z_{np}^M \hat{u} \cdot \mathbf{n} - \hat{p} = 0$$

or alternatively

$$\frac{\bar{c}}{\rho} Z_{mi}^M \hat{m} \cdot \mathbf{n} - \hat{J} = 0,$$

(2.5a,b)

where $\hat{m} = \bar{\rho} \hat{u} + \bar{u} \hat{p}/\bar{c}^2$ and $\hat{J} = \hat{p}/\bar{\rho} + \bar{\rho} \hat{u} \cdot \hat{u}$ are the (complex amplitudes of the) mass flow rate and total enthalpy, respectively, and $Z_{np}^M$ and $Z_{mi}^M$ are the impedances associated with variables $(\hat{u}, \hat{p})$ and $(\hat{m}, \hat{J})$, respectively. The choice of this latter set of fluctuating state variables is commonly made in the aeroacoustic community (see Peters et al. 1993; Karlsson & Åbom 2010) because they are themselves independent of the flow when placed in a wave equation, contrary to the pressure or the velocity, which are locally dependent on the flow velocity (see Doak 1998). The superscript $M$
Flow domain where $M$ is neither small nor uniform

Compressor

Flow domain where $M$ is small and assumed zero

Combustion chamber

Flow domain where $M$ is neither small nor uniform

Turbine

Figure 9. Schematic view of the modelling strategy. Instead of solving for the LEEs over the whole domain, the Helmholtz equation is solved over the combustion chamber only, the acoustic environment from compressor and turbine being accounted for by imposing relative impedances.

in (2.5) denotes the fact that the impedances are relevant to acoustic elements where the mean flow is not at rest (as in a compressor or turbine, see figure 9). Of course, the impedances $Z_{up}^M$ and $Z_{ml}^M$ are two different complex-valued numbers although they represent the same physical element (compressor or turbine). For example, a perfect tube end where the acoustic pressure $\hat{p}$ is zero would correspond to $Z_{up}^M = 0$ but $Z_{ml}^M = M \cdot n = \overline{u} \cdot n/c$. More generally, these impedances are related by the following relation:

$$Z_{ml}^M = \frac{Z_{up}^M + M \cdot n}{1 + Z_{up}^M M \cdot n}. \quad (2.6)$$

Now, since the Helmholtz equation is solved for in the combustion chamber where the mean flow is assumed at rest, a boundary impedance $Z_{up}^0$ should be imposed in order to account for the effects of the compressor/turbine on the acoustics. Even if $\hat{p}$ is the primary variable (see (1.1)) in the combustion chamber, the proper impedance $Z_{up}^0$ to be imposed at the edge of the chamber is not necessarily $Z_{up}^M$. Even if the Mach number is zero in the chamber, it is not in the nozzle, and its effect should be taken into account when computing $Z_{up}^M$. A careful analysis of the energy flux through the interface between the combustion chamber and the outer acoustic elements (Motheau et al. 2014) shows that a proper choice is $Z_{up}^0 = Z_{ml}^M$ because this introduces an error of order $M^2$ on the energy flux, while $Z_{up}^0 = Z_{up}^M$ introduces an error of order $M$. For example, if a choked feeding line is located upstream of the combustion chamber, the mass flux is constant ($\hat{m} \cdot n = 0$, $Z_{ml}^m = \infty$) and the proper boundary condition for the Helmholtz domain is simply $Z_{up}^0 = \infty$. Similarly, if the feeding line imposes the velocity instead of the mass flux ($\hat{u} \cdot n = 0$, $Z_{ml}^M = 1/M \cdot n$, $Z_{up}^M = \infty$), the proper boundary impedance is $Z_{up}^0 = 1/M \cdot n$ and not $\infty$.

In the present study, the nozzle impedance $Z_{up}^M$ was computed based on the analytical approach of Duran & Moreau (2013), which solves the quasi-one-dimensional LEE via the Magnus expansion. The main advantage of this latter method over the Marble & Candel (1977) compact approximation is that, for any given complex frequency, it gives the exact amplitude of the impedance and the related phase relations, while keeping a negligible computational cost. The impedance $Z_{up}^M$ was then corrected for Mach effects using (2.6) to provides $Z_{ml}^M$.

2.4.2. Results

Several computations of the operating points Cold and Hot have been performed with the AVSP Helmholtz solver (Nicoud et al. 2007). In all cases, a baseline mesh
(approximately $10^5$ nodes) was generated from the LES grid in order to represent the geometry properly. Additionally, a zero normal acoustic velocity condition was imposed over all boundaries except (a) over the chamber exit, where the nozzle impedance $Z_{m_0}^M$ (see §2.4.1) is imposed, and (b) over the air inlet, which is modelled by a constant mass flow rate $\dot{m} \cdot n = 0$, i.e. $Z_{m_0}^M = \infty$.

The sound speed $c$ is computed from the averaged temperature field given by LES and interpolated on the coarse acoustic mesh. In a cold region such as the casing where fresh air is flowing, the sound speed is approximately 300 m s$^{-1}$ and it reaches approximately 900 m s$^{-1}$ in hot regions. The fields of $n_{\text{local}}$ and $\tau_{\text{local}}$ are computed by taking the Fourier transform of the signals of fluctuating heat release rate and velocity, so as to form $\hat{q}(\mathbf{x})$ at each point of the domain as well as $\hat{u}(\mathbf{x}_{\text{ref}}) \cdot n_{\text{ref}}$ at a reference point $\mathbf{x}_{\text{ref}}$ located inside the swirler. For Helmholtz computations of the case Cold, figure 10 presents the fields of $n_{\text{local}}$ and $\tau_{\text{local}}$ extracted at frequency 320 Hz of the thermoacoustic instability. The reference point $\mathbf{x}_{\text{ref}}$ for the velocity as well as the normal reference vector $n_{\text{ref}}$ are also shown. For computations of the case Hot, the fields of $n_{\text{local}}$ and $\tau_{\text{local}}$ are extracted at 440 Hz (not shown), which is the frequency in the LES signals (see figure 6) where most of the activity occurs.

The main properties of the computations performed, as well as the results for the first mode, are gathered in tables 2 and 3 for operating points Cold and Hot, respectively. The ‘Active flame’ column reports whether or not the flame–acoustic coupling (the right-hand side term of (2.4)) is included. The ‘Boundary conditions’ column reports whether the value of $Z_{m_0}^\text{up}$ (see §2.4.1) at the outlet is set to $\infty$ (simple $\dot{m} \cdot n = 0$ condition) or computed from the analytical nozzle theory of Duran & Moreau (2013). Computations of the operating points Cold and Hot feature the same behaviour. The first eigenmode obtained for operating point Cold is in the range of 690–730 Hz, very far from the instability at 320 Hz encountered in the experiments and the LES computations. Similarly, the first mode computed for operating point Hot is found in the range of 817–840 Hz, contrary to the (limited) thermoacoustic activity observed at approximately 435 Hz. Runs B show that the coupling with the flame only shifts the frequency by a few hertz and has a damping effect on the acoustic modes. Runs C show that the use of more accurate boundary conditions has a limited effect on the frequency of the first eigenmode. Using an active flame model and proper boundary conditions within a Helmholtz solver framework is the most employed method to calculate thermoacoustic modes and to draw the stability map of a reactive system. However, runs D confirm that such a method totally fails to capture the desired unstable mode of interest in this particular study. This result suggests that the instability encountered in the present combustor is not of purely acoustic nature and supports the idea that it relies on a convective path. The next natural question is this: How can evidence be found for this path, if it exists, and how can it be modelled in the purely zero-Mach-number acoustic framework? The next section is devoted to an advanced post-processing of the LES by using DMD.

3. Dynamic mode decomposition

3.1. Theoretical background

Experiments or simulations of flows often lead to complex, dynamic and nonlinear systems that cannot be analysed directly by simple visualisation or statistical techniques. Advanced methods have emerged during the past decades to provide a detailed analysis of many flow fields. In this context, the extraction of coherent
structures from the flow as well as their stability analysis have become essential. The time evolution of a flow is described by

$$\frac{\text{d}v(t)}{\text{d}t} = A v(t),$$

(3.1)
Table 3. Results for Helmholtz computations of operating point Hot ($T_{inlet} = 762$ K).

<table>
<thead>
<tr>
<th>Name</th>
<th>Outlet impedance, $Z_m$</th>
<th>Active flame</th>
<th>Frequency (Hz)</th>
<th>Growth/decay rate ($s^{-1}$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Run A</td>
<td>$\infty$</td>
<td>No</td>
<td>840</td>
<td>0</td>
</tr>
<tr>
<td>Run B</td>
<td>$\infty$</td>
<td>Yes</td>
<td>830</td>
<td>0</td>
</tr>
<tr>
<td>Run C</td>
<td>From Duran &amp; Moreau (2013)</td>
<td>No</td>
<td>826</td>
<td>$-30$</td>
</tr>
<tr>
<td>Run D</td>
<td>From Duran &amp; Moreau (2013)</td>
<td>Yes</td>
<td>817</td>
<td>$-28$</td>
</tr>
</tbody>
</table>

where $v$ is a vector containing the physical variables representative of the flow and $A$ is a linear operator. When integrated between $t$ and $t + \Delta t$ while keeping $A$ constant, (3.1) leads to

$$v(t + \Delta t) \approx e^{\Delta t A} v(t). \quad (3.2)$$

In practice, the flow is time-discretised and represented by a set of $N$ vectors whose $M$ components ($M \gg N$) contain any relevant quantity taken either from experimental or numerical data at $N$ different instants. Mathematically speaking, the amplitudes of all the variables at all points are represented by the following sequence of state vectors:

$$V_N = \{v_1, v_2, v_3, \ldots, v_N\}, \quad (3.3)$$

where the subscript and superscript denote the time index of the first and last snapshot, respectively. If the state vectors contain enough information, the flow dynamics can be approximated by a linear operator $\tilde{A} = e^{\Delta t A}$ such that

$$v_{n+1} = \tilde{A} v_n, \quad \forall n. \quad (3.4)$$

The $\tilde{A}$ operator may be studied through its eigenvectors $\tilde{\Phi}_n$ and eigenvalues $\tilde{\lambda}_n$, which provide the model structure and frequency/growth rate, respectively. In practice, the eigenvalue problem

$$\tilde{A} \tilde{\Phi}_n = \tilde{\lambda}_n \tilde{\Phi}_n \quad (3.5)$$

cannot be solved since $\tilde{A}$ is either unknown or too large. The DMD developed by Schmid (2010) is an algorithm to approximate the matrix $\tilde{A}$. The DMD is an extension of a previous method presented by Rowley et al. (2009) and based on the Arnoldi algorithm. Equations (3.3) and (3.4) may be expanded into the following relation:

$$\tilde{A} V_1^{N-1} = V_2^N = V_1^{N-1} S + r e_{N-1}^T. \quad (3.6)$$

Here $r$ is the residual vector, which tends to zero when the number of snapshots $N$ increases, $e_{N-1}$ is the $(N - 1)$th unit vector and the matrix $S$ is of companion type with

$$S = \begin{pmatrix} 0 & a_1 & \cdots & \cdots & a_{N-2} & a_{N-1} \\ 1 & 0 & \cdots & \cdots & 1 & 1 \\ \vdots & \ddots & \ddots & \ddots & \ddots & \ddots \\ 1 & 0 & \cdots & \cdots & 0 & 1 \end{pmatrix}, \quad (3.7)$$
where the coefficients \( \{a_1, a_2, a_3, \ldots, a_{N-1}\} \) are the only unknowns. The matrix \( S \) shifts the snapshot index 1 to \( N-1 \) and is a low-dimensional representation of the full system. Consequently, \( S \) and \( \tilde{A} \) share the same eigenvalues, since they are similar from (3.6) if the residual vector on the right-hand side is indeed negligible. The advantage of considering \( S \) is its reduced size, \((N-1) \times (N-1)\), compared to the size of \( \tilde{A} \), \( M \times M \). One drawback of the DMD is that the operator \( S \) may be ill-conditioned in practice, especially when dealing with noisy signals. Several formulations of \( S \) are available in the literature, as for example by expanding \( V_{N-1}^{1} \) via a singular value decomposition (SVD) (Schmid 2010) or a QR decomposition (Schmid et al. 2011). In the present paper, the variant proposed by Chen, Tu & Rowley (2012) is implemented and described as follows. The operator \( S \) is reformulated by using (3.6):

\[
S = (V_{1}^{N-1})^{-1}V_{2}^{N}.
\]  

(3.8)

Introducing first the following SVD, \( V_{1}^{N-1} = U \Sigma W^{H} \), where \( U \) and \( W \) are unitary and \( \Sigma \) is diagonal, the Moore–Penrose inversion of \((V_{1}^{N-1})^{-1}\) leads to

\[
(V_{1}^{N-1})^{-1} = W \Sigma^{-1} U^{H}.
\]  

(3.9)

Introducing the substitution \( U = V_{1}^{N-1} W \Sigma^{-1} \) into (3.9) and replacing the whole expression into (3.8) gives

\[
S = W \Sigma^{-1} \Sigma^{-1} W^{H}(V_{1}^{N-1})^{H} V_{2}^{N}.
\]  

(3.10)

The operators \( W \) and \( \Sigma \) may then be simply computed by forming the following diagonalisation:

\[
(V_{1}^{N-1})^{H} V_{1}^{N-1} = W \Sigma^{2} W^{H}.
\]  

(3.11)

This construction of \( S \) is robust even when \( V_{1}^{N-1} \) is nearly rank-deficient, and computing the product \((V_{1}^{N-1})^{H} V_{1}^{N-1}\) requires less memory (see Chen et al. 2012) than performing an SVD directly. The last step is to solve the eigenproblem \( S_{n} = \mu_{n} s_{n} \), where \( s_{n} \) and \( \mu_{n} \) are the eigenvectors and eigenvalues of \( S \). From (3.6) (neglecting the residual term), the relations between the eigenvectors \( \tilde{\Phi}_{n} \) and eigenvalues \( \tilde{\lambda}_{n} \) of \( \tilde{A} \) and their counterparts \( s_{n} \) and \( \mu_{n} \) are

\[
\tilde{\Phi}_{n} = V_{1}^{N-1} s_{n},
\]

(3.12)

\[
\tilde{\lambda}_{n} = \mu_{n}.
\]

(3.13)

Recalling that \( \tilde{A} = e^{\Delta t A} \), the eigenvectors and eigenvalues of \( A \) are

\[
\Phi_{n} = \tilde{\Phi}_{n} = V_{1}^{N-1} s_{n},
\]

(3.14)

\[
\lambda_{n} = \frac{\ln(\tilde{\lambda}_{n})}{\Delta t} = \frac{\ln(\mu_{n})}{\Delta t}.
\]

(3.15)

Thus studying the \((N-1) \times (N-1)\) matrix \( S \) gives information about the whole flow dynamics \( A \).
3.2. Application of the DMD

Dynamic mode decomposition (DMD) was applied to the LES data in order to better understand the nature of the instability illustrated in §2.3. For this purpose, 200 snapshots were recorded over a time range corresponding to approximately eight cycles of the instability, thus leading to a sampling of 28 snapshots by period. This amount of data is sufficient for the DMD to break down the reactive turbulent flow into dynamically relevant structures with periodic evolution over time (Schmid 2010). The input vectors (see (3.3)) for the DMD algorithm were built from the nodal values of pressure, static temperature, entropy, reaction rate and the three components of the velocity at each grid point of the mesh used for the LES. Note that the entropy variable, denoted $s$, is not directly an output of the code and has been computed for each node of the domain from LES data with the following expression:

$$s(x) = \frac{C_p(x)}{\gamma (x)} \ln \left( \frac{p(x)}{\rho(x)^{\gamma (x)}} \right).$$

(3.16)

Although not detailed in the present study, several trials have been performed by changing the total number of snapshots or by composing the input vector with different sets of variables, showing that the number of variables taken into account in the DMD does not change the conclusions drawn in the remainder of this study. Moreover, variations in the total number of snapshots have a limited impact and only shift the frequency of the most unstable mode by a few hertz.

The DMD results of the LES for operating points Cold and Hot are depicted in panels (a) and (b) of figures 11 and 12, respectively. Figure 11 shows the $L^2$-norm of the pressure fluctuations associated with the different DMD modes. Figure 12 shows the amplification rate $\text{Im}(\lambda_n)$ of the pressure DMD mode. The DMD analysis for operating point Hot does not exhibit a strong emerging mode. Although not evident, a peak is present at the frequency of 434 Hz and has an amplitude of approximately 3500 Pa, in agreement with experiments and the Fourier analysis (see figure 6). However results for the unstable operating point Cold presented in figure 11(a) exhibit a strong peak at 323 Hz, in good agreement with the Fourier analysis conducted in §2.3 (see figure 6). Additional peaks with a decreasing amplitude are also present at frequencies 648, 969 and 1290 Hz. A close look at the spectrum of the amplification rate $\text{Im}(\lambda_n)$ depicted in figure 12 shows that each mode featuring a strong pressure activity has a null amplification. This result was expected because the DMD analysis is performed on LES data recorded when the thermoacoustic instability has reached a limit cycle. The transition from the stable to unstable operating points, i.e. when the energy is growing inside the combustor, has not been considered in the present study. Note also that the eigenmodes extracted by DMD are related by a simple relation $f_n = n f_0$, suggesting that the modes of higher frequency are harmonics of a fundamental mode $f_0$.

The modulus of the fluctuating temperature field for the DMD mode with the highest amplitude at 323 Hz is depicted in figure 13(a). The relative phase of the temperature and pressure mode is also recorded along the combustor, from the swirler to the exit nozzle. The path where the data are extracted is represented by a white line and the phase is plotted in figure 13(b). Two different zones can be distinguished, each with a specific behaviour of the temperature fluctuations:

(i) Zone I corresponds to the primary zone between the swirler and the dilution holes. The unsteady swirled flame naturally generates temperature fluctuations,
but this latter mechanism is confined in the primary zone (I). Indeed, the flame is surrounded by a zero fluctuating temperature area, suggesting that no pockets of temperature generated by the flame are connected with zone II downstream of the dilution holes. This statement is supported by the behaviour of the phase, which shifts from $-\pi$ to $\pi$ inside zone I. Fluctuations of temperature then roll up inside the flame and no convection to the downstream zone II occurs.

(ii) Zone II extends from the dilution holes to the exit nozzle. Strong fluctuations of temperature occur along the jets of fresh air that flow from the dilution holes. A large pocket of fluctuating temperature is formed at the top end of the jets, in the middle of the combustor. The phase unrolls monotonically from the formation area of the hot pockets to the downstream nozzle.

The analysis of the modulus and phase of the temperature for the first DMD mode at 323 Hz suggests that hot pockets of fluctuating temperature are released after the dilution holes and convected to the downstream nozzle. This statement confirms the scenario observed in figure 3 showing a series of temperature fields taken from the LES. However, the DMD gives more insight about the origin of these hot pockets: they are not created directly by the flame, which is confined in the primary region, but are generated by the hot gases interacting with the dilution jets.
Figure 13. Temperature fluctuations for the first DMD mode at 323 Hz of operating point Cold. (a) Modulus over the mid-plane. (b) Phase of the temperature (——) and pressure (· · · · · ·) along the path depicted by the white line.

In order to better understand the mechanism at the origin of the thermoacoustic instability, the fluctuating pressure, temperature and velocity fields from the first DMD mode at 323 Hz were reconstructed over one period. The four phases displayed in figure 14 support the idea that the unstable mode of interest relies, at least partly, on an entropy–acoustic coupling. Note that the velocity is represented only by its component along the y-axis, i.e. the streaming axis of the dilution jets.

(i) At phase 0, the pressure is low everywhere within the combustion chamber and a pocket of cold gas is present downstream of the primary reaction zone, roughly at the middle of the combustion chamber. The velocity perturbations of the dilution jets are maximum: more fresh air is blown into the combustor.

(ii) At phase $\pi/2$, the cold pocket is convected downstream and the unsteady pressure in the chamber is approximately zero. The velocity perturbations of the jets are very small.

(iii) At phase $\pi$, the cold pocket interacts with the exit nozzle and a new pocket of hot gas is generated downstream of the primary zone, while the fluctuating pressure within the chamber is now positive and the dilution jets have reached their minimum velocities.

(iv) At phase $3\pi/2$, the pocket of hot gas is convected by the mean flow and the pressure within the chamber decreases, while the velocity perturbations of the dilution jets are now again very small.

As recalled in the Introduction, each interaction between a hot or cold pocket of gas and the nozzle generates acoustics, which may propagate downstream (generating what
Figure 14. Fluctuating pressure (a–d), temperature (e–h) and velocity (i–l) from the DMD mode at 323 Hz for the operating point Cold ($T_{\text{inlet}} = 557$ K). From top to bottom, the four rows correspond to phases 0, $\pi/2$, $\pi$ and $3\pi/2$. 
is known as indirect noise) or upstream (generating another perturbation of the flame region and promoting the creation of a new entropy spot). This creates a pressure drop between the surrounding casing and the combustor. In the same phase relation, depending on the sign of the pressure drop, the dilution jets are either (a) blowing fresh air into the combustor, thus decreasing the combustion and creating the cold pocket, or (b) blowing fresh air out of the combustor, enhancing the formation of a hot pocket. When the pressure drop decreases to zero, the dilution jets are annihilated and the pocket of cold/hot temperature is released and convected by the mean flow.

The acoustic response of the nozzle to an impacting temperature fluctuation can be characterised by the analytical theory based on the Euler equations of Duran & Moreau (2013). The amplitude of the reflected acoustic wave scales with the incident temperature fluctuation, and the ratio $\frac{\hat{p}}{\hat{T}}$ is typically of the order of $100 \text{ Pa K}^{-1}$ for most nozzles. As a consequence, for temperature fluctuations of approximately 350 K measured at 323 Hz from the DMD analysis of the LES data (see figure 14), the pressure fluctuations generated by the nozzle can then be computed with the help of the reflection coefficient and qualitatively estimated to be approximately 35,000 Pa, which is in fair agreement with the pressure fluctuations (see figure 11) measured in the LES. The angle of $\frac{\hat{p}}{\hat{T}}$ is between $\frac{3\pi}{4}$ and $\pi$, which is also in agreement with the LES/DMD results (see figure 13). Hence, for this nozzle and flow regime, temperature pockets impacting the nozzle generate strong opposite-sign pressure fluctuations in the combustion chamber. Note that any acoustic pressure fluctuation generates a certain amount of temperature fluctuations, even in the absence of a nozzle or accelerated flow. Under the isentropic assumption, one obtains

$$\hat{T} = T \left( \frac{\gamma - 1}{\rho c^2} \right) \hat{p}. \quad (3.17)$$

Figure 15 shows that the isentropic temperature fluctuations at the entrance of the nozzle are almost 25 times smaller than the temperature fluctuations measured in the LES via the DMD and are also out of phase. This demonstrates that the temperature fluctuations seen in zone II are not the thermal signature of the pressure (acoustics) fluctuations in the chamber; instead, they are generated by the interaction between the primary zone and the dilution jets. After interacting with the nozzle, they generate a strong pressure fluctuation of opposite sign. This demonstrates that the combustion instability does not rely on a purely acoustic mode. Additionally, the temperature signal at the air inlet entrance in the casing is also reconstructed from the DMD mode at 323 Hz and compared to the acoustic temperature signal. The results depicted in figure 16 suggest that the casing surrounding the combustion chamber features only a purely acoustic activity, demonstrating that the instability mechanism is confined in the combustion chamber and is not introduced at the air inlet of the domain. The idea that the unstable mode close to 320 Hz involves a coupling between entropy and acoustics is further supported by the numerical Helmholtz analysis of the combustor performed in §2.4.2, which shows that the smallest purely thermoacoustic frequency mode is close to 690 Hz, very far from the observed 320 Hz. The next two sections describe how this acoustic–entropy coupling phenomenon can be accounted for within the Helmholtz framework.

4. Introducing entropy–acoustic coupling in Helmholtz formulation

As detailed in the authors’ previous work (see Motheau et al. 2013, 2014), the purely acoustic formulation used in Helmholtz solvers can be augmented to account simply for convective/acoustic modes by:
Figure 15. Reconstructed temperature signal at the entrance of the nozzle measured from the first DMD mode at 323 Hz (——). Reconstructed acoustic temperature signal at the entrance of the nozzle computed with (3.17) and the pressure signal measured from the first DMD mode at 323 Hz (······). Note that the second curve is multiplied by a factor 25 to be comparable to the first one.

Figure 16. Reconstructed temperature signal at the air inlet entrance of the casing measured from the first DMD mode at 323 Hz (——). Reconstructed acoustic temperature signal at the air inlet entrance of the casing computed with (3.17) and the pressure signal measured from the first DMD mode at 323 Hz (······).

(i) modelling the entropy wave from the flame zone to the nozzle using a time delay (similar to flame transfer function delays used for classical thermoacoustics);
(ii) introducing the entropy wave reflection into acoustics at the nozzle location by using an extended outlet impedance accounting for entropy–acoustic reflection.

These formulations are described in §4.1, which presents the DECBC model, and tested in §4.2.

4.1. Delayed entropy coupled boundary conditions

The acoustic impedance is imposed onto the computational domain as a uniform boundary condition over a discretised surface. In this context the boundary condition
relies on a quasi-one-dimensional formulation. Hence, the fluctuating pressure and velocity fields can be reformulated in a general manner with the following one-dimensional relations (Pierce 1981):

\[ \frac{\hat{p}(\xi)}{\gamma p} = A^{\text{out}} e^{ik_{\text{out}}\xi} + A^{\text{in}} e^{-ik_{\text{in}}\xi}, \quad (4.1) \]

\[ \frac{\hat{u}(\xi) \cdot n}{c} = -(A^{\text{out}} e^{ik_{\text{out}}\xi} - A^{\text{in}} e^{-ik_{\text{in}}\xi}), \quad (4.2) \]

where \( k_{\text{in}} = \omega/c(1 - M) \) and \( k_{\text{out}} = \omega/c(1 + M) \) are the acoustic wavenumber of the acoustic waves \( A^{\text{in}} \) and \( A^{\text{out}} \) propagating along the curvilinear axis \( \xi \) (see figure 17) at the speed of sound \( c \) against or towards the direction of the flow, respectively. Here \( A^{\text{in}} \) is the wave entering into the computational domain while \( A^{\text{out}} \) is the wave leaving the domain at \( c(1 + M) \). Note that the minus sign in front of the right-hand side of (4.2) stems from the fact that the unit vector normal to the exit boundary is collinear with the \( \xi \)-axis, pointing towards negative \( \xi \). Following Marble & Candel (1977) an acoustic wave is generated by the entropy spots flowing through the exit nozzle or turbine. At the location \( \xi = 0 \) where the boundary condition is imposed, the wave \( A^{\text{in}} \) represents the acoustics generated by the nozzle and entering the computational domain. It can be assumed linear in the two outgoing waves,

\[ A^{\text{in}} = R_{\text{up}} A^{\text{out}} + R_{\text{sp}} \sigma^{\text{out}}, \quad (4.3) \]

where \( R_{\text{up}} \) and \( R_{\text{sp}} \) are the reflection coefficients for an impacting acoustic and entropy wave, respectively. The term \( \sigma \) is the wave of the entropy fluctuations \( \hat{s} \)/\( C_p = \sigma^{\text{out}} e^{ik_{s}\xi} \) that is convected at the mean flow speed and leaving the domain, \( C_p \) is the heat capacity at constant pressure and \( k_s = \omega/cM \) the wavenumber associated with the convection of entropy spots by the mean flow. At \( \xi = 0 \), (4.1) and (4.2) become

\[ \frac{\hat{p}(\xi)}{\gamma p} = A^{\text{out}} + A^{\text{in}}, \quad (4.4) \]

\[ \frac{\hat{u}(\xi) \cdot n}{c} = -A^{\text{out}} + A^{\text{in}}, \quad (4.5) \]

while

\[ \frac{\hat{s}}{C_p} = \sigma^{\text{out}}. \quad (4.6) \]

Equation (4.3) may then be recast into the form

\[ \frac{\hat{p}(R_{\text{up}} - 1)}{\gamma p} - \rho c \hat{u} \cdot n (R_{\text{up}} + 1) + 2 \frac{\gamma p}{C_p} R_{\text{sp}} \hat{s} = 0, \quad (4.7) \]

with \( R_{\text{up}} = A^{\text{in}}/A^{\text{out}} \) and \( R_{\text{sp}} = A^{\text{in}}/\sigma^{\text{out}} \). Note that all the parameters \( \gamma, \rho, \gamma, \tau, \) and \( C_p \) must be taken at the location where the boundary condition represented by (4.7) is imposed. After introducing the relation between the acoustic impedance and the acoustic reflection coefficient, \( Z_{\text{up}}^0 = (R_{\text{up}} + 1)/(R_{\text{up}} - 1) \), the implementation of (4.7) into the Helmholtz solver AVSP leads finally to the following reformulation of the boundary condition (4.7):

\[ \frac{\hat{p}}{Z_{\text{up}}^0} - \rho c \hat{u} \cdot n + \frac{Z_{\text{up}}^0 - 1}{Z_{\text{up}}^0} \frac{\gamma p}{C_p} R_{\text{sp}} \hat{s} = 0. \quad (4.8) \]

Note that, similarly to the impedance \( Z_{\text{up}}^0 \), \( R_{\text{sp}} \) can be deduced either by the compact hypothesis of Marble & Candel (1977) or by the analytical LEE method of Duran & Moreau (2013).
As the Helmholtz solver relies on the zero-Mach-number assumption, the effect of elements, such as the nozzle, where a mean flow occurs can simply be taken into account by imposing the right energy flux through the proper impedance formulation (see §2.4.1). However, imposing only the acoustic impedance, equation (2.6), means neglecting the entropy–acoustics coupling and the subsequent sound being generated by the entropy spots flowing through the exit nozzle or turbine.

Now, $\hat{s}$ in (4.8) is not available in the Helmholtz domain and the entropy fluctuation at the edge of the combustion chamber must be modelled before (4.8) can be applied. Assuming that the entropy fluctuations at the exit have first been generated in the flame region before being convected by the mean flow, one obtains

$$\hat{s} = \hat{s}_f \exp(i \omega \tau_c),$$

where $\hat{s}_f$ is the amount of entropy being generated by the flame and $\tau_c$ is the convection time from the flame to the exit. Consistently with the Helmholtz framework, it is then useful to relate the generated entropy $\hat{s}_f$ to some acoustic quantity. It is done here in a way similar to the $n$–$\tau$ model (Crocco 1952) for unsteady heat release, connecting the entropy fluctuation to the acoustic velocity taken at a reference point located upstream of the flame region,

$$\hat{s}_f = G_{us} \exp(i \omega \tau_{us}) \hat{u} \cdot \mathbf{n}_{\text{ref}},$$

(4.9)

where $G_{us}$ and $\tau_{us}$ are the gain and the time delay of the entropy generation from an acoustic perturbation $\hat{u}$ at the location $x_{\text{ref}}$ and along the direction $\mathbf{n}_{\text{ref}}$. Rewriting (4.8) then leads to the following DECBC:

$$\frac{\hat{p}}{Z_{up}^0} - \rho \nabla \hat{u} \cdot \mathbf{n} + \frac{Z_{up}^0}{Z_{sp}^0} \frac{1}{C_p} \gamma p R_{sp} G_{us} \exp(i \omega \tau_{us}) \hat{u}_{\text{ref}} \cdot \mathbf{n}_{\text{ref}} = 0.$$  

(4.10)

The DECBC has been validated elsewhere in the case of an academic one-dimensional combustor mounted on a compact nozzle (see Motheau et al. 2012, 2014).

4.2. Application of the DECBC approach

Recall that the configuration investigated in the present paper exhibits a low-frequency mode of oscillation at a frequency significantly smaller than any acoustic mode.
Helmholtz analysis performed in § 2.4.2 failed to capture this low-frequency mode. It is thus natural to investigate whether the DECBC approach described in § 4.1 helps to recover this latter mode. The transfer function between the acoustic velocity upstream of the flame and the entropy generated downstream of the primary zone was assessed by post-processing the LES via the DMD analysis. More precisely, this entropy transfer function was defined as

$$ G_{\text{LES}} e^{i\omega \tau_{\text{LES}}} = \frac{\langle \hat{s}_f \rangle}{\hat{u}_{\text{ref}} \cdot \mathbf{n}_{\text{ref}}} \tag{4.11} $$

where $\mathbf{n}_{\text{ref}}$ is a unit reference vector aligned with the main axis of the combustor (as in § 2.4, figure 10), $\hat{u}_{\text{ref}}$ is the acoustic velocity at the reference point depicted in figure 18 and $\langle \hat{s}_f \rangle$ is the entropy fluctuation averaged over a small volume located downstream of the primary combustion zone (see figure 18), in agreement with the mode structure observed from the DMD analysis of § 3.2. Obtaining the acoustic velocity fluctuations $\hat{u}_{\text{ref}}$ is challenging because the reference point is located inside the swirler where hydrodynamic fluctuations are present. However, as the present study focuses on a low-frequency instability, the hydrodynamic component is considered negligible. Note that this transfer function is similar to but different from the classical flame transfer function, which relates the upstream acoustic velocity to the unsteady heat release based on an $n$–$\tau$ type of model (Crocco 1952; Nicoud et al. 2007).

Consequently, the fluctuating velocity $\hat{u}_{\text{ref}}$ for both the active flame and DECBC approach is chosen at the same reference point. Following the rationale developed in §4.1, the DMD analysis was also used to measure the convection time $\tau_{\text{LES}}^c$ from the flame region to the end of the combustion chamber (see figure 18). Note that, because the entropy spots decay during their convection through the chamber exit (owing to the turbulent mixing and dissipation), the time delay $\tau_{\text{LES}}^c$ must be completed by a gain $G_{\text{LES}}^c$ (smaller than unity) to relate the entropy in the flame region to the entropy at the exit:

$$ \hat{s} = G_{\text{LES}}^c e^{i\omega (\tau_{\text{LES}}^u + \tau_{\text{LES}}^c)} \hat{u}_{\text{ref}} \cdot \mathbf{n}_{\text{ref}} \tag{4.12} $$

Finally, the entropy fluctuations in (4.8) can be modelled as

$$ \hat{s} = G_{\text{LES}}^u G_{\text{LES}}^c e^{i\omega (\tau_{\text{LES}}^u + \tau_{\text{LES}}^c)} \hat{u}_{\text{ref}} \cdot \mathbf{n}_{\text{ref}} \tag{4.13} $$

The DECBC condition for the three-dimensional SAFRAN combustor is obtained by injecting (4.13) into (4.10) and reads:

$$ \hat{p} \frac{Z_0^p}{Z_0^p} - \hat{\rho} \hat{c} \hat{u} \cdot \mathbf{n} + \frac{Z_0^p}{Z_0^p} \frac{1}{C_p} \hat{p} R_{\text{up}} G_{\text{LES}}^u G_{\text{LES}}^c e^{i\omega (\tau_{\text{LES}}^u + \tau_{\text{LES}}^c)} \hat{u}_{\text{ref}} \cdot \mathbf{n}_{\text{ref}} = 0. \tag{4.14} $$

Note that the fields of $n_{\text{local}}$ and $\tau_{\text{local}}$ for the active flame (see (2.3)) have also been computed from the DMD analysis and it has been checked that they are very close to the one computed with the Fourier transform (see §2.4.2). The first DMD mode at 323 Hz (Cold) was also used to assess the entropy-related gains $G_{\text{LES}}^u$ and $G_{\text{LES}}^c$ and the time delays $\tau_{\text{LES}}^u$ and $\tau_{\text{LES}}^c$. Figure 19 presents the following reconstructed signals from this DMD mode:

(i) the reference fluctuating velocity $\hat{u}_{\text{ref}} \cdot \mathbf{n}_{\text{ref}}$;

(ii) the fluctuating entropy $\langle \hat{s}_f \rangle$ averaged over a volume located in the middle of the combustor where the formation of the pocket occurs (see figure 18);
(iii) the fluctuating entropy $\hat{s}$ averaged over the exit boundary condition of the Helmholtz domain.

The gain and time delay of transfer functions described in (4.11) and (4.12) can therefore be easily estimated with the help of elementary signal processing. The results are summarised in table 4 for the two operating points Cold ($T_{inlet} = 557$ K) and Hot ($T_{inlet} = 762$ K). Note that these values are assumed to be independent of frequency.

4.3. Helmholtz/DECBC results

Helmholtz computations are performed for each operating point Cold and Hot by using the respective fields of sound speed, active flame $n-\tau$ fields and transfer functions from table 4. The outlet impedance $Z_{inlet}^{M}$ is computed from the Duran & Moreau (2013) theory. Results for the first mode are gathered in table 5. As already stated in § 2.4.2, the first acoustic mode for the operating point Cold oscillates at around 690 Hz, very far from the 320 Hz instability observed in the LES and
Operating point  \( G_{\text{LES}} \)  \( \tau_{\text{LES}} \)  \( G'_{\text{LES}} \)  \( \tau'_{\text{LES}} \)  
---  
\textit{Cold} (\( T_{\text{inlet}} = 557 \text{ K} \))  84  \( 2.13 \times 10^{-3} \)  0.63  \( 7.82 \times 10^{-4} \)  
\textit{Hot} (\( T_{\text{inlet}} = 762 \text{ K} \))  74  \( 1.76 \times 10^{-3} \)  0.48  \( 4.14 \times 10^{-4} \)  

\textbf{Table 4.} Gain and time delay of the transfer functions modelling the entropy fluctuations for the operating points \textit{Cold} (\( T_{\text{inlet}} = 557 \text{ K} \)) and \textit{Hot} (\( T_{\text{inlet}} = 762 \text{ K} \)).

Operating point  Frequency (Hz)  Growth/decay rate (s\(^{-1}\))  
---  
\textit{Cold} (\( T_{\text{inlet}} = 557 \text{ K} \))  318  +267  
\textit{Hot} (\( T_{\text{inlet}} = 762 \text{ K} \))  429  +12  

\textbf{Table 5.} Helmholtz computations with the DECBC approach.

experiment; this acoustic mode is slightly damped (decay rate of approximatively \(-40 \text{ s}^{-1}\)), even while taking into account the proper acoustic flux at the inlet/outlet as well as the coupling between acoustics and combustion through the active flame model (see table 2). Introducing the DECBC approach corrects this behaviour: the Helmholtz solver now gives a strong unstable mode (growth rate \(267 \text{ s}^{-1}\)) oscillating at 318 Hz (see table 5), in fair agreement with the LES and experiment. Note that the frequency of oscillation obtained (318 Hz) is rather close to the first DMD mode (323 Hz), thus justifying \textit{a posteriori} the fact that the transfer function gain and delay were assumed to be independent of frequency when performing the Helmholtz computations. The shapes of the first modes from the DMD analysis and the Helmholtz computations with the DECBC approach are depicted in figure 20. The fluctuating pressure \( \hat{p} \) is made non-dimensional by setting \( \text{max}(\text{Re}(\hat{p})) = 1 \). It is remarkable that the eigenmode computed by the Helmholtz solver with the DECBC approach (figure 20b) is rather similar to the DMD mode (figure 20a). The maximum amplitude of fluctuation is located inside the whole combustion chamber, while the casing exhibits a structure close to a quarter wave mode.

The methodology described previously is also applied to the operating point \textit{Hot}. The results exhibit the same behaviour (table 5). A mode is found at 429 Hz with a small growth rate, consistent with the LES result, which showed a small-amplitude mode at 435 Hz. In Silva \textit{et al.} (2013), the authors mention that the growth rates assessed by a Helmholtz solver should be offset by a negative quantity that represents all the damping effects not included in the zero-Mach-number framework (acoustic boundary layers, vortex generation in shear layers, etc.). Consequently, the mode predicted for case \textit{Hot} at \(+12 \text{ s}^{-1}\) is consistent with the DMD analysis and experiments. Note also that the growth rate obtained for \textit{Cold} is much larger than for \textit{Hot}, in full agreement with the LES/experimental results, which display a strong instability in the first case only. Once included in a low-order Helmholtz solver, the acoustic–entropy coupling allows one to recover the low-frequency instability of the LES and experiments. This demonstrates that this instability is indeed driven by the acoustic waves generated by strong entropy fluctuations being convected through the nozzle. To the authors’ knowledge, this is the first time that such an instability has been identified in an aero-engine configuration.
Figure 20. Shapes of the first pressure eigenmode for the operating point *Cold*: (a) DMD analysis (323 Hz); (b) Helmholtz computation with the DECBC approach (318 Hz). The fluctuating pressure $\hat{p}$ is made non-dimensional by setting $\max(\text{Re}(\hat{p})) = 1$.

5. Conclusion

High-fidelity computations of a realistic aero-combustor that features a strong low-frequency instability under certain operating conditions have been performed. Experiments show that the establishment of an instability depends to first order on the inlet temperature of the air entering the combustion chamber. LES of two operating points representative of the stable/unstable states of the combustor were first performed, showing that the computations can discriminate between the stable and unstable operating points. In any case a low-frequency mode is found, featuring either strong or very weak fluctuations of all physical variables. The DMD analysis demonstrates that pockets of fluctuating entropy/temperature are created inside the combustor and convected to the downstream nozzle, creating a reflected acoustic perturbation that goes back to the flame.

The LES were complemented by a purely acoustic analysis where the coupling between acoustics and combustion is taken into account by an active flame model based on an $n-\tau$ formalism. For both operating points, the results exhibit a damped eigenmode, the frequency of which is very far from the low-frequency mode observed in the experiments and LES computations. This suggests that the mechanism leading to the combustion instability relies on a coupling between entropy and acoustic waves.
inside the nozzle. As this latter mechanism is neglected in the Helmholtz analysis, owing to the zero-Mach-number assumption, the low-frequency mode cannot be reproduced.

A DECBC is then developed as a means to recover some of the convective effects when representing a thermoacoustic system under the zero-Mach-number formalism. This model consists of two steps, one for the entropy generation in the flame region, and one for the convection/dissipation of the entropy spots through the combustion chamber. This results in a transfer function that relates a reference acoustic velocity in the burner and entropy at the exit of the chamber and which was assessed by post-processing LES data via the DMD analysis. The acoustics generated by the convection of the entropy spots through the exit nozzle are then treated by applying a proper boundary condition that couples entropy and acoustic quantities.

Helmholtz computations coupled with the DECBC approach are conducted for both stable/unstable operating points. The results exhibit low-frequency eigenmodes with frequency, shape and stability behaviour in very good agreement with the experimental and LES data. This elucidates the major role played by the coupling between entropy and acoustic waves inside the nozzle on the establishment of this combustion instability, contrary to the coupling between the flame and the acoustics or the sound speed field, which have a minor influence. This study shows that the acoustic–entropy coupling should be accounted for when performing the thermoacoustic analysis of (complex) combustors, contrary to what is usually done.

Acknowledgements

E. Motheau gratefully acknowledges support from SNECMA. The authors also thank T. Jaravel (CERFACS) for technical support for the DMD analysis, as well as Y. Méry (SNECMA) for providing the data and for fruitful discussions about the configuration. Part of this study was performed during the Summer Program 2012 of the Center for Turbulence Research, Stanford University, USA.

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