Three examples of results achieved from cooperative works with microwave and plasma research groups in Toulouse (France) are presented in this paper. They are focused on the use of few non-thermal plasmas to make a microwave device reconfigurable. The relative permittivity of such a plasma medium can be tuned from unity to negative values. This special feature appears to be very attractive, although the electromagnetic losses are significant. The use of plasmas with planar waveguides and within metamaterials is discussed. In addition, the basic principles of a scanning antenna built with a leaky wave in a plasma layer are presented.
1. Introduction

Putting together more and more microwave functions in today's systems leads to an increasing interest in the re-use of devices for several systems or sub-systems. As an example, the same filter is intended to switch between Wi-Fi and 3G modes in order to avoid multiple filters. Reconfigurability is then a way to make these systems more compact and efficient. Although they are not so easy to deal with, several strategies are developed with components like diodes [1], MEMS [2], liquid crystals [3], or ferrimagnetic materials [4]. Each of these technologies has its own advantages and drawbacks. This paper investigates the potential of an alternative solution for reconfigurability: the use of non-thermal plasmas.

Such plasmas exhibit remarkable properties regarding microwave propagation or reflection. They are well suited to high-power densities up to 1 MW/m². Either the active volume or its permittivity can be tuned quickly, from the millisecond to the nanosecond range with dedicated pre-ionization circuits. Nevertheless, their high microwave absorption, which can lead to insertion losses of several dB and even more, is often cited as a major concern. Actually, this property can be useful in some special cases.

Guided microwaves are well known to be very sensitive to whatever is put in their vicinity or added to the waveguide. Integrating plasma discharges without disturbing too much the wave then appears to be quite difficult. Encapsulating gas with controlled composition and pressure, designing appropriate electrodes that do not interfere with the wave are the challenges addressed here. Several talks, meetings and cooperative projects putting together both the plasma and microwave local communities were required to achieve the new ideas developed in this paper, which are gathered in three different parts.

First, we will see that having a realistic knowledge of the wave/plasma interaction is not so easy. It leads to design some specific measurement toolbox in order to quantify what is the effect of a microplasma on a wave travelling in a conventional microstrip inverted line. Second, we present an example of our works concerning the integration of localized plasmas within an Electromagnetic Band Gap (EBG) structure. The originality of this approach consists of few localized plasmas used as controllable defects. We show the case of metallic EBG structure in which anisotropy is driven by two plasma tubes. Finally, we briefly expose the concept and dimensioning of a leaky wave plasma antenna with beam scanning capabilities.

2. Getting realistic data about microwave/microplasma interaction

The wave/plasma interaction and its use is an old question. Since the design of radar duplexers and limiters in the late 1940s [5], papers regularly deal with this topic. More recently, a new interest for agile radar mirrors [6] or invisible RCS antennas (when off) [7] has been noticed. In the last few years, some theoretical results were also published concerning couplers [8] or antennas [9] in the context of the use of metamaterials.

Considering the simple fluid model of a plasma material, only the electron density and the collision frequency are required to describe the electromagnetic behaviour of the plasma. A Drude model [10] then gives the equivalent complex permittivity and makes it possible to simulate, by any conventional technique, a wave propagating through it. However, these two parameters appear not to be fully independent and almost related to the system producing the plasma discharge. Besides, this plasma discharge remains a complex inhomogeneous medium whose electron density spatial distribution is difficult to predict. As a consequence, we concluded that only the experimental characterization of a canonical configuration could help in the further design of more sophisticated microwave devices.

The proposed measurement device consists of a 50-Ω inverted microstrip line with a microplasma discharge in its centre [11]. The microplasma discharge is in fact generated using a Micro-hollow Cathode Sustained Discharge (MCSD) configuration [12,13]. Such a configuration seems interesting because of its capability to produce very localized and stable microplasmas in a wide range of pressures. Moreover, it can be easily integrated in many planar waveguides. Note that the
inverted microstrip line has been selected keeping in mind that the possible expansion of the plasma in the active zone between the two conductors could lead to more significant interaction.

The microstrip inverted line of 50-Ω characteristic impedance is described in Fig. 1. The centred hole is machined as a part of the MCSD configuration as well as the ground plane of the line (anode 1) which can be seen in Fig. 2. Finally, this measurement device is inserted in a vacuum chamber where we can control the type of gas and its pressure as well as the DC power injected to the plasma discharge while measuring the $S$ parameters of the inverted microstrip line. A picture of the final measurement device is shown in Fig. 3 where the plasma electrodes, the inverted microstrip line substrate, the RF ground plane and the SMA connectors can be seen in the glass vacuum chamber.

As a result of such integration, the propagation through the line is expected to exhibit no reflection and full transmission when the plasma is off. When it is on, however, there will be more reflection and less transmission. On the one hand, both the magnitude and the phase of the measured $S_{21}$ parameter will characterize the plasma effect on the transmitted wave. On the other hand, the measured $S_{11}$ parameter will tell us about the reflected wave. Actually, one has to keep in mind that this full $S$ parameter characterization is enough to further simulate the behaviour of a global microwave circuit with a conventional electromagnetic tool by associating one or more reconfigurable microdischarges with active or passive components (lines, junctions, inductors, capacitors, transistors...).

Fig. 4a presents the measured $S_{11}$ magnitude considering a gas made of 99% of neon and 1% of xenon at different pressures, namely 3.3 mbar, 13.3 mbar and 66.5 mbar. This gas has been chosen to maximize the electron density while minimizing the collision frequency.
First, note that this line is quite well matched over the whole frequency band with a S11 parameter lower than $-15$ dB when there is no plasma. Second, we notice a significant effect of the plasma discharge that tends to mismatch the transmission line. Differences up to 10 dB are then depicted on the S11 magnitude that points to an important effect of the microplasma on the wave. Considering the frequency, the highest effect appears to be in the middle of the presented bandwidth. The pressure here seems ineffective.

Second and third sets of results concern both the magnitude (Fig. 4b) and phase (Fig. 5a) of the transmission coefficient, i.e. the S21 parameter. The latest is related to the phase with no plasma and then denoted as the phase difference.

Regarding the S21 magnitude, we notice that both the pressure and the frequency are of some importance. The good transmission of more than $-1$ dB without plasma is drastically reduced down to $-10$ dB at its peak value. Both this value and its spectral localization are affected by the gas pressure. Lower pressure, here, leads to higher effects, while higher discharge currents increase the effect too. These remarks can be extended to the phase difference curves in Fig. 5a. The
global variation of 40° peak is not very impressive, but when compared to the size in wavelength of the microdischarge ($\lambda_{\text{min}}/15$), it becomes more interesting, especially when some of these values are achieved in low-loss area (e.g., at 3.3 mbar and 14 GHz). Some other comments could focus on the sign inversion of the phase difference, which can be interpreted with respect to phase velocities lower or higher than the vacuum one (considering effective permittivity of the inverted line around 1). This is also an interesting property usually expected with plasmas.

Finally, we propose to deal with the power balance in order to have a special look on the plasma losses. Theoretically, the incident wave normalized power is reflected, transmitted, and/or dissipated. The first two contributions are easy to get considering the magnitudes of the S11 and S21 parameters. Assuming that the power dissipated in the line materials (both conductive and dielectric parts) remains unchanged whether the plasma is on or off, we can get the plasma absorbed power. Such graphs are depicted in Fig. 5b.
On a 100% scale which is the total incident power, we can see the percentage of power dissipated in the plasma (orange) or in the line (black). The percentages of reflected and transmitted power are respectively depicted in red and white. We can observe that the main part of the incident power is absorbed in the plasma whatever the pressure or the frequency. A very special behaviour for particular high frequencies at low pressure still needs further investigations.

The preliminary results given in this paper are proving that significant effects can be expected and almost controlled with the discharge current when using a MCSD together with an inverted microstrip line. For the first time, these effects have been widely and accurately assessed with measurements. A full S parameter characterization can be achieved and wide parametric studies can be done in order to seek the most efficient behaviour with respect to the goal in a circuit design perspective. Either the phase shifts for phasor realisation or the switchable losses for limiters could be used for further practical applications that still require studies.

3. Reconfigurable metamaterials using plasma

In parallel with the work described in the previous section, other studies have been conducted to explore the potential of plasmas as reconfigurable elements in a microwave device. This section presents an example of our investigations about metamaterials and Electromagnetic Band Gap (EBG) materials. Such kind of materials has been intensively studied since the late 1990s [14–18]. They are generally made up of a structure with a spatial periodicity, and they exhibit extraordinary properties such as negative refraction index, forbidden band gap, anisotropy . . . . The current challenge of many research groups consists in making them reconfigurable by integrating localized components such as varactors [19] or by using active materials like ferrite [20] or ferroelectric materials [21]. We propose to use plasma as another kind of active material to achieve reconfigurability.

Very few research groups work on plasma within metamaterials or EBG materials. At the beginning of our investigations in 2008, the best results were derived by Sakaï and his team. They modelled and carried out a whole microplasma array as an EBG structure [22]. However, this technological success still presents many problems related to the high number of plasmas: high electromagnetic losses, supply and integration complexities. The originality of our approach is to use plasmas as reconfigurable elements in a microwave device. This section presents an example of our investigations about

several configurations have been tested: 1D plasma EBG placed on a slot line to act as a tunable filter [23], 2D dielectric EBG with plasma defects as a switchable coupler or demultiplexer [24], and also 2D metallic EBG that behaves as a switchable directional filter [25]. In this paper, we chose to present the results obtained on this last configuration. We have designed an EBG structure, as illustrated in Fig. 6a, made up of metallic rods with diameter $d = 2$ mm arranged in a square lattice with a step size $s = 10$ mm. These parameters were obtained in such a way that the EBG exhibits an anisotropic behaviour for frequencies in the 18-GHz range with transverse magnetic polarization (i.e., with the electric field along the rods’ axes) [26]. To clearly demonstrate this property, Fig. 6b shows the field radiated by an isotropic source within the structure. We see that only diagonal directions allow the propagation of the electromagnetic wave, while propagation along $x$ and $y$ axes is forbidden. Next we gave a triangular shape to the EBG structure in order to reduce its size and to avoid parasitic reflections. We also designed a specific pyramidal horn to illuminate it only in its forbidden direction. Thus, the incident wave is totally reflected and no transmission through the EBG is possible, as confirmed by the simulation shown in Fig. 6c. However, by changing the coupling between the horn and the EBG, it becomes possible to excite diagonal modes and hence allow the transmission through the structure. One way is to put defects at the interface. In [25], we suggest to add a plasma discharge to achieve this purpose. Here, we propose an alternative solution which consists in replacing two metallic rods at the interface with two plasma tubes positioned at $(x, y) = (0, \pm s)$. They are dielectric and have a 3-mm

![Fig. 6.](Image)
internal diameter. Their electromagnetic behaviour is totally different from that of the metallic rod and hence strongly affects the coupling between the horn and the EBG. Then, the transmission in diagonal directions appears as shown on the simulation in Fig. 7a. On the other hand, when the discharges are ignited, plasma appears and changes the permittivity and the conductivity inside the tubes. Using Drude’s model and assuming a homogeneous plasma inside the tubes, we show by simulation in Fig. 7b that an electron density equal to $1.5 \times 10^{13} \text{ cm}^{-3}$ and a collision frequency equal to $20 \times 10^9 \text{ s}^{-1}$ are sufficient to reduce the transmission by about 8 dB at 18 GHz. These values are consistent with the experimental conditions presented hereafter. With this set of parameters, the plasma surrounded by the dielectric tube has behaviour close to that of the metallic rod. Thus, the structure tends to work as the perfect EBG without defect.

The validation of this concept has been done experimentally. We show in Fig. 8a the manufactured EBG. The red circles correspond to the location of both plasmas. Fig. 8b is a front view of the EBG when plasmas are ignited. Fig. 8c illustrates the discharge tubes. A thermionic cathode is placed at the high-end part of the tube in order to enhance the ignition of the discharge. A DC voltage source is connected to the other end and a 3 kV pulse is used to ignite the discharge in neon at 53-mbar gas pressure. Plasma discharge currents values vary from 0 to 120 mA, resulting in an estimated maximum electron density of $2 \times 10^{13} \text{ cm}^{-3}$. The schematic of experimental set up is depicted in Fig. 8d. The transmission parameter $S_{21}$ is measured via a receiver which can move from 0° to 90°. Results for different current values are presented in Fig. 7c. 0 dB
stands for maximum transmission without EBG. For better assessment, we add in black on the plot the transmission through the perfect EBG (without defect), which reaches a maximum value of $-20$ dB. We can observe a decrease in transmission as the discharge current increases. A transmission decrease by about 8 dB can be reached by varying the discharge current from 0 mA to 120 mA. This result is quite satisfying and validates our concept: it is possible to make an anisotropic antenna operating at a fixed frequency. In this paper, we briefly expose the concept and dimensioning of such an antenna.

4. Tunable leaky-wave plasma antenna

In the microwave range, beam scanning antennas are used in many applications such as radar or telecom systems. Classical technologies use moving reflector or phased array to steer the main beam. However, they respectively present a narrow scan angle and a complex feeding network. Leaky-Wave Antennas (LWA) are another way to produce beam steering, but with a wider angle range. However, the scan is conventionally derived by varying the operating frequency, which is an important drawback in many applications. More recently, several solutions have been investigated to overcome this problem, using, for instance, varactor diodes [29], semiconductor [30], corrugated ferrite [31], or ferroelectric substrate [32] in the leaky-wave antenna. Again, we propose to use the plasma as a controllable material in order to obtain a tunable leaky-wave antenna operating at a fixed frequency. In this paper, we briefly expose the concept and dimensioning of such an antenna.

The principle of our antenna is based on the presence of a leaky wave when a source is placed within a plasma layer with a relative permittivity between 0 and 1. The layer is surrounded by air on the top and by a Perfect Electric Conductor (PEC) on the bottom, as shown in Fig. 9a. The leaky waves radiate above the layer according to a direction that depends on plasma permittivity, i.e. its electron density. Therefore, it becomes possible to control the beam steering at a fixed frequency via the plasma layer. Note that in this case the plasma is not localized as in studies shown in the two previous sections, but directly used as a propagation medium. Therefore, it must not present important electromagnetic losses while having a large size. These constraints are consistent with the willed narrow permittivity range which only requires moderate electron density. Then, it is possible to use low-pressure plasma, which offers both low losses due to the low electron-collision frequency and large volume.

In order to determine the plasma characteristics and better understand the leakage mechanism, we first choose to model a simple 2D configuration composed of a grounded plasma layer of thickness $d$ and of length $L$ as depicted in Fig. 9a. The leaky waves are excited in TM mode at 10 GHz by magnetic current line sources $J_m$ oriented along the transverse direction. In a first approach, we consider an infinite length $L$. The radiated magnetic field can be calculated with the theoretical analysis used by Tamir et al. [33]. Thus, we can observe the influence of the plasma relative permittivity $\varepsilon_p$ and its thickness $d$ on the radiation angle $\theta$. First, we want to set $d$ before using $\varepsilon_p$ as the adjusting parameter to control $\theta$. In a simplified way, we can say that a minimum value of $d$ is required to excite leaky waves and large values of $d$ allow increasing the scan range mostly in the endfire region. Once the thickness is fixed, varying $\varepsilon_p$ from 0 to 1 leads to small (close to broadside) up to large (close to endfire) radiation angles, respectively. This study allows us to dimension the plasma in a consistent way with the experimental conditions as seen hereafter. Thus, with an electron collision frequency $v = 10^{-6}$ s$^{-1}$ which insures small losses and with $d = 2$ cm, varying the electron density $n_e$ from $1.24 \cdot 10^{12}$ cm$^{-3}$ to $4.7 \cdot 10^{10}$ cm$^{-3}$ leads to a plasma permittivity range from 0 to 0.9622, which means a radiation angle variation from 1.8° to 79°, as shown in Fig. 10a. In Fig. 9b, we show an example of radiation pattern calculated with $n_e = 1.1 \cdot 10^{12}$ cm$^{-3}$. In this case, the plasma relative permittivity is equal to $0.11 - j14 \cdot 10^{-4}$ and the maximum radiation angle denoted $\theta_{\text{max}}$ is 19.6°. It is worth noting that the assumed infinite length of this antenna and the regular exponential decrease along the interface give rise to a radiated field without side lobes. In order to assess the plasma length required and the effects of the antenna truncation, we complete...
Fig. 10. a) Angle of the maximum radiated field $\theta_{\text{max}}$ as a function of $n_e$ for $d = 2$ cm at 10 GHz / b) Measured electron density profile between two metallic walls placed in a vacuum chamber of inductively coupled reactor in argon at $44 \times 10^{-3}$ mbar pressure.

The preliminary design has identified an order of magnitude for the characteristics of the plasma. Thus, the maximum density is about $10^{12}$ cm$^{-3}$, with a collision frequency around $10^8$ s$^{-1}$, a thickness of a few centimetres and a maximum length of 30 cm. These characteristics a priori exclude the DC and AC supplies to create the plasma. Thus, we opted for a RF inductively coupled plasma source which enables us to generate at low pressure a high and stable electron density in a large volume. Besides, in practical cases, the plasma needs to be confined using a second layer at its upper side. We chose the quartz, commonly used by the plasma community, since it meets thermal, mechanical and electromagnetic requirements. Its relative permittivity is $4.4 - j4 \times 10^{-5}$ in our operating temperature and frequency ranges [37]. The quartz layer implies two main effects. First, it alters the leakage rate due to the variation of its transmission coefficient which depends on $\varepsilon_p$ and $\theta$. We added this slab in our model with different thicknesses and we observed that leaky waves still exist, with slight angular and directivity shifts. Second, until now, for the sake of simplicity, we assumed a homogeneous plasma layer. Actually, the plasma source and the presence of the PEC and quartz walls give rise to a gradient of electron density. In order to evaluate it, we carried out preliminary measurements of the electron density profile between two metallic walls placed in a vacuum chamber of inductively coupled reactor available in GREPHE plasma group at the LAPLACE laboratory [38]. An example of measured electron density profile in argon at $44 \times 10^{-3}$ mbar pressure is shown in Fig. 10b. The metallic walls, which represent the plasma boundaries along the $z$ direction in our LWA configuration, are separated by $d = 4$ cm. We can observe that the electron density is flat in the middle and gradually drops when getting closer to the walls on each side. This case requires a plasma source power of 800 W. It is worth noting that it is not at all dedicated to our application and thus the power required can be drastically reduced with a specific source. Finally, we simulated with the full-wave solver Ansys HFSS a stratified configuration that takes into account the measured electron density profile. We then observed that the gradient does not affect the leaky-wave excitation, but reduces a little the scan range. Further investigations are under way to better quantify this phenomenon.

This work constitutes the first step toward the total design and achievement of tunable plasma LWAs. These preliminary results seem to be promising. Our next investigations will deal with the matching of microwave source and the design of specific RF inductively plasma source.

5. Conclusion

In this paper, three examples of the research work made together by a few Toulouse groups are presented at the interface between plasma and microwave physics. These cases illustrate original strategies and results addressing here the use of plasmas for microwave reconfigurability.

The first topic proposes to reverse the usual way that can be found in many microwave papers dealing with plasmas: the devices work well with a specific electron density in a specific volume. The problem is just that they cannot be built. In our method, we first widely characterize what kind of real effect can be achieved before wondering what can be done with it. Hence, a complete experimental setup has been designed and processed as presented in this paper. The results show that
Further use of the data can be done focusing on the more interesting behaviours that has been pointed out. Our work is currently done in the context of antennas either looking for losses or phase shift.

The second work presented demonstrates the ability of plasma tubes judiciously localized and operating as switchable defects to control the transmission through an anisotropic EBG structure. Nowadays, this work continues with high power microwave sources.

The third topic addressed in this paper proposes to use large plasma at low pressure to achieve a tunable leaky-wave antenna at a fixed frequency. A specific theoretical model allowed us to validate the concept and to design the plasma. Thus, an inductively coupled plasma source has been chosen to generate a plasma meeting all the requirements. The first results we derived appear promising. Our next investigations will deal with the matching of microwave source and the design of specific RF inductively plasma source.

Beyond the works presented in this paper, the microwave/plasma activities in Toulouse continue and enlarge on issues such as non-linearity control [39] or high-power microwave sources.

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